

**State of Delaware, Department of Finance, Office of Unclaimed Property**

**AGREEMENT AND RELEASE OF LIABILITY FORM  
FOR RETURN OF SECURITIES PROPERTY VIA TRANSFER AGENT**

**\*Please read the following carefully, as this affects your legal rights.**

The following Confidential Agreement and Release is entered into between the State of Delaware,  
Department of Finance's Office of Unclaimed Property (the "OUP") and \_\_\_\_\_  
\_\_\_\_\_ (the "Claimant") (collectively, the "Parties").

Claimant, having made a claim of ownership of a security that was determined to be unclaimed  
and delivered to the State Escheator, requests that the OUP, pursuant to 12 *Del. C.* §  
1160(a)(1)/(a)(2), initiate the return of the following property, \_\_\_\_\_  
\_\_\_\_\_ (the "Property"), through the initial transfer  
agent, \_\_\_\_\_ (the "Transfer Agent"), for Claim # \_\_\_\_\_.

The OUP will provide to the Transfer Agent the following information:

Claimant name: \_\_\_\_\_

Claimant address: \_\_\_\_\_  
\_\_\_\_\_

Claimant telephone number: \_\_\_\_\_

Claimant email address: \_\_\_\_\_

The OUP will direct Transfer Agent to return the Property to its original registration, to be titled  
as it was received by the OUP: \_\_\_\_\_.

**Claimant bears full responsibility to follow through with settlement of the Property via the Transfer Agent for the Property at Transfer Agent.** This means that the Claimant must contact the Transfer Agent immediately after transfer by the OUP to ensure that the Property been transferred to the Claimant's account at the Transfer Agent.

Claimant shall specifically contact the following individual(s) at the Transfer Agent: \_\_\_\_\_

\_\_\_\_\_  
Failure to do so may result in another escheatment of the Property.

Upon successful return of the Property to the Transfer Agent, the OUP has met its obligation to the Claimant and bears no further responsibility or liability to the Claimant; provided, however, that if the Transfer Agent subsequently returns or transfers the Property to the State of Delaware within 90 days after the transfer contemplated in this Agreement and Release, the OUP shall for a second time initiate a transfer for the return of the Property via the Transfer Agent. If the Parties both comply with the above, and the process fails a second time, the Parties will re-negotiate a mechanism for the return of the Property.

**IN WITNESS WHEREOF**, the Parties have executed this Agreement and Release effective as of the date last set forth below.

\_\_\_\_\_  
Authorized Representative of the State of  
Delaware

Dated: \_\_\_\_\_

\_\_\_\_\_  
Claimant

Dated: \_\_\_\_\_